UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/804,707	03/19/2004	Michael Maschke	P04,0086	7519
SCHIFF HARDIN LLP Patent Department 6600 Sears Tower 233 South Wacker Drive Chicago, IL 60606			EXAMINER	
			CHAO, ELMER M	
			ART UNIT	PAPER NUMBER
			3737	
			MAIL DATE	DELIVERY MODE
			07/29/2010	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

1	RECORD OF ORAL HEARING
2	
3	UNITED STATES PATENT AND TRADEMARK OFFICE
4	
5	
6	BEFORE THE BOARD OF PATENT APPEALS
7	AND INTERFERENCES
8	
9	
10	Ex parte MICHAEL MASCHKE
11	
12	
13	Appeal 2009-007413
14	Application 10/804,707
15	Technology Center 3700
16	
17	
18	Oral Hearing Held: June 17, 2010
19	
20	
21	Before WILLIAM F. PATE, III, STEFAN STAICOVICI,
22	FRED A. SILVERBERG, Administrative Patent Judges.
23	
24	APPEARANCES:
25	
26	
27	ON BEHALF OF THE APPELLANT:
28	
29	OTEVENILI MOLL ECOLUDE
30	STEVEN H. NOLL, ESQUIRE
31	Schiff, Hardin, LLP
32	6600 Sears Tower
33	Chicago, Illinois 60606
34	(312) 258-5790
35	
36	
37	

#### Appeal 2009-007413 Application 10/804,707

- The above-entitled matter came on for hearing on Thursday, June 17,
- 2 2010, commencing at 9:32 a.m., at the U.S. Patent and Trademark Office,
- 3 600 Dulany Street, Alexandria, Virginia, before Paula Lowery, Notary
- 4 Public.
- 5 CLERK: Good morning, Calendar Number 46, Appeal No. 2009-007413,
- 6 Mr. Noll.
- 7 JUDGE PATE: Good morning, Mr. Noll.
- 8 MR. NOLL: Good morning.
- 9 JUDGE PATE: We've taken an opportunity to look at this case beforehand,
- so we're up to speed on the technology. We'd like to hear your arguments
- 11 about patentability.
- 12 MR. NOLL: My pleasure. As you know, our basic reference is the
- 13 Lenelson reference. We have a rejection under 103 based on Lenelson and
- 14 Koch.
- 15 I won't say too much about the Koch reference. The Examiner relied on it
- because it has a magnet at the tip. We agree it provides such a teaching, but
- that's not really our basis for patentability, or our basis for distinguishing
- 18 over the art.
- 19 So I'll devote most of my time to discussing the Lenelson reference. As you
- 20 know from reading the Briefs, our claimed invention is a catheter that is
- 21 magnetically guided in the body of a patient by means of a static magnetic
- field in which the catheter and the patient are placed.
- 23 The catheter itself contains a number of individually controllable
- 24 electromagnets that are each controlled so as to give the respective
- 25 electromagnets different magnetic moments. That allows a very robust

## Application 10/804,707

- 1 guidance of the catheter through the body in this magnetic field by
- 2 interaction of the electromagnets with the static, external magnetic field.
- 3 The Lenelson reference, as a fundamental difference, is a catheter that has
- 4 either permanent magnets or electromagnets in it that is placed in an external
- 5 field where the external field is the controlled field. By controlling the
- 6 external field and by interaction of that controlled external field with the
- 7 magnets, the Lenelson guidance of the catheter is achieved in that manner.
- 8 The key point, as you can see from our Briefs, is whether the Lenelson
- 9 reference discloses that any of these magnets in the catheter have different
- magnetic moments when the current is supplied to them.
- 11 As we've noted, the primary disclosure of the Lenelson reference is, we
- think, to use permanent magnets; but it does make mention of an alternative
- use of electromagnets. For the reasons which we cited in our Brief, which I
- won't detail again, the electromagnets are described in Lenelson, we believe,
- only as alternatives, or something that will be operated or used to resemble
- 16 the permanent magnet.
- 17 That by itself to us says they are not, should not, and cannot be individually
- 18 controllable electromagnets. Otherwise, they wouldn't resemble the use of
- 19 the permanent magnets.
- 20 Even more importantly, this reference, of course, was heavily discussed
- 21 during the prosecution before the Examiner; and we specifically amended
- our claim language to preclude the interpretation of this reference that the
- 23 Examiner is now giving. That is, to include specific language in our claim
- 24 that states that the electromagnets that have different magnetic moments are
- 25 magnets that have current supplied to them.

#### Appeal 2009-007413 Application 10/804,707

- 1 The Examiner is only able to interpret the Lenelson reference as having
- 2 electromagnets with different magnetic moments by virtue of saying that
- 3 some of these electromagnets at any given time might be activated. Some
- 4 might be not activated, and the Examiner contends that the nonactivated
- 5 magnets thus have a magnetic moment of zero.
- 6 Our position is a nonactivated electromagnet is no different than the example
- 7 we gave in our Brief of a pencil, or any other inanimate object, without any
- 8 current supplied to it for which the concept of a magnetic moment is
- 9 meaningless.
- 10 It's only useful and meaningful to use the concept of a magnetic moment to
- something that is actually generating or exhibiting a magnetic field. A
- 12 nonactivated electromagnet just doesn't do that.
- 13 You can take that concept of the Examiner to the extreme and say something
- that is red could also be called green because at any given moment it has a
- green value of zero. So this is a very slippery slope, we believe, to just call
- something that has no magnetic attributes at all as having a magnetic
- 17 moment of zero.
- 18 That's the one factor just in terms of whether any of these magnets have
- 19 different magnetic moments; but it's also a distinguishing feature, we
- 20 believe, with regard to the Lenelson catheter that even the activated magnets
- 21 in that catheter when activated are all activated the same. They're all
- supplied with the same current.
- 23 As I said, they're intended to resemble permanent magnets, which means
- 24 they must all be virtually identical. So even for the activated magnets in the
- 25 Lenelson catheter, none of them have different or varying magnetic
- 26 elements. They all have the same identical magnetic moment.

# Application 10/804,707

- 1 That's basically our argument. The fundamental difference between the type
- 2 of control that's used in the catheter -- this catheter of the invention is used
- 3 in an external static field. The Lenelson reference is used in an external
- 4 controllable field. There's no magnets with varying magnetic moments
- 5 disclosed in the Lenelson, et al. reference; and there's no electromagnets that
- 6 are individually controllable disclosed in the Lenelson reference.
- 7 All of those factors, we believe, actually teach a person of ordinary skill
- 8 away from making any modifications of the Lenelson reference in a
- 9 direction toward the claimed subject matter. Even if those sorts of changes
- proposed by the Examiner were made for reasons that we're not able to
- discern, that would involve such a substantial redesign of the Lenelson
- system to change it from the basic fundamental operating concept that's
- disclosed in that reference that we believe those changes would themselves
- be evidence of nonobviousness and be sufficient to reverse the rejection.
- 15 I'd be glad to take any questions or hear any comments you have.
- 16 JUDGE PATE: Any questions?
- 17 JUDGE STAICOVICI: I have one question. Lenelson specifically states
- using strong magnets, or more preferably, electromagnets in it. So I get the
- 19 feeling that they prefer electromagnets to permanent magnets.
- 20 MR. NOLL: That might be the case, I wouldn't dispute that; but even if
- 21 that's the case, as I said, the use of electromagnets is intended to cause them
- 22 to behave as closely as possible to permanent magnets. Even when they use
- 23 permanent magnets in order to achieve the feature that I mentioned of
- 24 having some magnets activated and some magnets deactivated, they talk
- 25 about putting the permanent magnets at different locations along the length
- of the catheter.

### Appeal 2009-007413 Application 10/804,707

So whatever they're doing, whether they're using permanent magnets or electromagnets, I believe they're trying to accomplish the same thing and make them resemble each other as closely as possible. JUDGE PATE: I think we understand your arguments, and we're going to take this case under advisement. MR. NOLL: Thank you very much. JUDGE PATE: Thank you. Whereupon, the proceedings at 9:41 a.m. were concluded.